

12/20/01  
1111 U.S. PTO

*Z. Pre-Hall*  
S&H Form: PTO/SB/05 (2/01)

CONTINUING  
(1.53(b)) UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for continuing applications under 37 CFR 1.53(b))

Attorney Docket No.

1559.1004D

First Named Inventor:  
Atsunori KAJIKI et al.

Title: SEMICONDUCTOR DEVICE, METHOD FOR  
MANUFACTURING SAME AND PORTABLE DEVICE

Express Mail Label No.

APPLICATION  
ELEMENTS

See MPEP chapter 600  
concerning utility  
patent application contents.

ADDRESS TO:  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1.  Fee Transmittal Form

2.  Specification, Claims & Abstract ..... [Total Pages: 21] [Total Sheets: 9] [FIGS. 1-9D]

3.  Drawing(s) (35 USC 113) .....

4.  Oath or Declaration ..... [Total Pages: 3]  
a.  Newly executed (original or copy)  
b.  Copy from a prior application (37 CFR 1.63(d))

5.  This application is filed by fewer than all the inventors named in the prior nonprovisional application.  
a.  DELETE the following inventor(s) named in the prior nonprovisional application:  
b.  The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

6.  Verified Statement Claiming Small Entity Status

7.  Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application (identified in Item 20), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

8.  Application Data Sheet. See 37 C.F.R. 1.76

9.  CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)

10.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a.  Computer Readable Form (CRF)  
b.  Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper  
c.  Statement verifying identity of above copies

11.  Assignment to Shinko Electric Industries Co., Ltd. of Nagano, Japan at Reel 011528, Frame 0096 (for publication of assignee information under 37 CFR 1.215(B))  
 for publication of assignee information under 37 CFR 1.215(b)

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12/20/01

ACCOMPANYING APPLICATION PARTS

12.  37 CFR 3.73(b) Statement (when there is an assignee)  Power of Attorney

13.  English Translation Document (if applicable)

14.  Foreign priority benefit under 35 U.S.C. §119 is claimed.  
a.  Certified Copy of Priority Document(s) filed in prior application No. 09/776,631.  
b.  Certified Copy of Priority Document(s) enclosed.  
c.  Certified Copy of Priority Document(s) to follow.

15.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

16.  Preliminary Amendment  
a.  enclosed herewith.  
b.  incorporated herein (see Box 20).

17.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

18.  Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent

19.  Other:

**20. CONTINUING APPLICATION**, check appropriate box and supply the requisite information below:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 09/776,631

Prior application information: Examiner: Joscetta Jones  
Group/Art Unit: 2812

**Preliminary Amendment:**

Cancel in this application original claims of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

Amend the specification by inserting before the first line the sentence: This application is a Divisional of application number 09/776,631, filed February 6, 2001, now pending.

**21. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171**

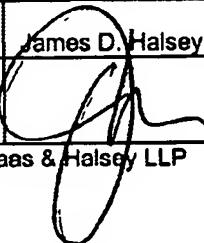


**21171**

PATENT TRADEMARK OFFICE

**22. SIGNATURE OF ATTORNEY OR AGENT**

|           |                      |                  |                   |
|-----------|----------------------|------------------|-------------------|
| NAME      | James D. Halsey, Jr. | REGISTRATION NO. | 22,729            |
| SIGNATURE |                      | DATE             | December 19, 2001 |

|   |   |                      |                        |  |                   |
|---|---|----------------------|------------------------|--|-------------------|
| NEW APPLICATION<br>FEE TRANSMITTAL  |   | Attorney Docket No.  | 1559.1004D             |  |                   |
|   |   | Application Number   | Unassigned             |  |                   |
|   |   | Filing Date          | December 19, 2001      |  |                   |
| AMOUNT ENCLOSED   | \$ 740.00   | First Named Inventor | Atsunori KAJIKI et al. |  |                   |
| FEE CALCULATION (fees effective 10/01/00)   |   |                      |                        |  |                   |
| CLAIMS  | (1) FOR   | (2) NUMBER FILED     | (3) NUMBER EXTRA       | (4) RATE   | (5) CALCULATIONS  |
|   | TOTAL CLAIMS  | 2 - 20 =             | 0                      | X \$ 18.00 =   | \$ 0.00           |
|   | INDEPENDENT CLAIMS  | 2 - 3 =              | 0                      | X \$ 84.00 =   | 0.00              |
|   | MULTIPLE DEPENDENT CLAIMS (any number, if applicable)                               |                      |                        | + \$280.00 =   |                   |
|   |   |                      |                        | BASIC FILING FEE   | 740.00            |
|   |   |                      |                        | Total of above Calculations =  | \$ 740.00         |
|   |   |                      |                        | Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)   |                   |
|   |   |                      |                        | Reduction by 50% for filing by small entity (37 CFR 1.27).                       |                   |
|   |   |                      |                        | TOTAL FILING FEE =   | \$ 740.00         |
|   |   |                      |                        | Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d)) |                   |
|   |   |                      |                        | Recordation of Assignment (\$40.00; 37 CFR 1.21(h))                              |                   |
|   |   |                      | TOTAL FEES DUE =       | \$ 740.00  |                   |
| METHOD OF PAYMENT   |   |                      |                        |  |                   |
| <input checked="" type="checkbox"/> Check enclosed as payment.<br><input type="checkbox"/> Charge "TOTAL FEES DUE" to the Deposit Account No. below.<br><input type="checkbox"/> No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).   |   |                      |                        |  |                   |
| GENERAL AUTHORIZATION   |   |                      |                        |  |                   |
| <input checked="" type="checkbox"/> If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:  |   |                      |                        |  |                   |
| Deposit Account No.   |   | 19-3935              |                        |  |                   |
| Deposit Account Name  |   | STAAS & HALSEY LLP   |                        |  |                   |
| <input checked="" type="checkbox"/> The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application. |   |                      |                        |  |                   |
| SUBMITTED BY: STAAS & HALSEY LLP  |   |                      |                        |  |                   |
| Typed Name  | James D. Halsey, Jr.  |                      |                        | Reg. No.   | 22,729            |
| Signature   |  |                      |                        | Date   | December 19, 2001 |

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